



My unique perspective

I am a full-time commercial arbitrator and mediator with particular expertise in banking & financial services, commodities & maritime, construction & energy.

I have over 30 years' experience of dispute resolution during which time, uniquely, I have experienced disputes and their resolution from **five** perspectives: (1) private practice lawyer; (2) in-house counsel; (3) the end-user client; (4) commercial arbitrator and (5) commercial mediator

About me

- My career to date enables me to service an ever-increasing complex world of disputes because I bring to the table my experience as a lawyer in private practice and at a US investment bank where also as a senior managing director, I worked alongside and was a member of the business units and oversight committees.
- **FinTech.** I have a particular interest in burgeoning FinTech-related disputes spanning the application of block chain and smart contract technology to financial products, which complements my strong practice in relation to banking and financial services, international trade (commodities and shipping) and energy & construction.
- **Personal.** I am married, love music and have twins. The latter helps my mediation practice!

Arbitrator

- I am regularly appointed in relation to a very broad range of commercial disputes
- Sole and party appointed, chair and umpire
- Institutional and ad hoc appointments (e.g. LMAA, LCIA, LME, ICC, SCC)

Mediator: facilitative or evaluative

- From the outset of the mediation meeting, my approach is to treat the mediation as a golden, business transaction opportunity (an approach informed by my time in-house)
- Facilitating the commercial parties to keep control by negotiating their own trade or their own deal, rather than have a binary outcome (win or lose) imposed upon them by a third party (Judge or Arbitral Tribunal). Make it "their" deal
- Encouraging the parties to distance themselves from the day-to-day language associated with disputes (such as "litigation-risk", "percentage chances", "escalating costs", "risks on the day")

Career

- Arbitrator/mediator since 2013. Full-time from 2015
- JPMorgan Chase & Co (Investment Bank - 2008-2015):
 - Managing Director & Assistant General Counsel, Head of the EMEA Commodities Legal team;
 - Member of the EMEA Derivatives Legal Management team;
 - Member of the EMEA Commodities Business Management team;
 - Member of the Global Commodities Business Physical Risks Committee
- Clifford Chance - Maritime/Commodities dispute resolution team (1987-2007) (Very short time at Holman Fenwick Willan in 2008)
- English Solicitor (since 1987)

Professional Memberships

- Member of the UK Incoterms 2020 re-drafting Group
- Member of the Arbitrators' Club - Commodities Section; Financial Services Section, Oil & Gas Section
- Member of the Baltic Exchange
- Member of the Chartered Institute of Arbitrators (MCI Arb)
- Member of the Federation of Oils, Seeds and Fats Association (FOSFA)
- Individual Member of The Grain and Feed Trade Association (GAFTA)
- Member of the International Chamber of Commerce (ICC)
- Member of the ISDA Arbitration Working Group
- Member of the London Court of International Arbitration (LCIA)
- Full Member of the London Maritime Arbitrators Association (LMAA)
- Approved arbitrator of the London Metal Exchange Arbitration Panel (LME)
- Trained with and Accredited by the London School of Mediation (LSM) who are accredited by the Civil Mediation Council (CMC)
- Solicitor of the English High Court
- Admitted to the Freedom of the Worshipful Company of Shipwrights

Banking & Financial Services

- Book sales & purchases
- Derivatives - financially and physically settled (listed derivatives; OTC under ISDA and other master agreements. Forward freight agreements. Mis-selling claims; repos)
- FinTech - digital platforms; GUI and API; valuations and market prices delivered electronically; ETF's
- Futures exchanges (trading, hedging and clearing on exchange: LME, LIFFE, ICE Europe, CME, etc)

- Hedging; Liquidity Providers/market makers (OTC derivatives and futures and options)
- Inventory financing and trade finance (providing working capital; taking security over plants/facilities/manufactured products at different stages)
- Insolvency issues (e.g. acceleration; assignment)
- Joint ventures (reps and warranties; allocation disputes of profit and expenses)
- Lending (multicurrency revolving facilities; asset-backed/secured lending)
- Mergers, Acquisitions and Divestments (shares and assets; experience of integrating global businesses and divesting global businesses; post-closing price adjustments; reps and warranties)
- Miscellaneous disputes ("fat finger"; appropriateness of trades; disputes under Sale & Purchase Agreements of global businesses; Confirmation issues)
- Regulatory investigations (USD payments through sanctioned countries; EU Competition investigations; alleged oil market squeezes)
- Security & collateral (e.g. letters of credit, guarantees, escrow funds); margin and collateral calls, including enforcement
- Structured finance - structured securities/notes, investment programmes for retail structured products

Commodities & Maritime, Construction & Energy

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- Admiralty (general average etc.)
 - Bills of lading (including drafting terms and conditions)
 - Carriage of goods by road, rail, pipeline, air and sea
 - Chartering, including COAs (bare boat, time and voyage)
 - Commodities of all types, including:
 - Agricultural and softs (e.g. coffee, cocoa, grains, potatoes, soya beans, sugar etc.)
 - Bullion and PGMs (gold, silver, platinum, palladium)
 - Coal
 - Emissions and carbon credits
 - LNG, natural gas (UK and Continental Europe)
 - Metals (ferrous and non-ferrous. E.g. copper, aluminium, iron ore, steel etc.)
 - Oil (crude, products, refined, bio fuels)
 - Containerised and liner trading (VSAs, slot/cross space charters,

consortia etc.)

- CTL/ATL - mv DERBYSHIRE/KOWLOON BRIDGE/KATERINA P
- Drilling platforms/rigs
- Electronic trading (e-commerce)
- Energy (see E&P below; global oil and coal; gas and power in UK/Conti Europe; offshore, pipeline, construction, floating storages, drilling, rigs)
- Environmental and hazardous disasters (oil pollution, explosions etc.)
- Exploration & Production (JV's, PSA and JOA disputes; licensing, concessions etc.)
- Insurance (goods, ships, storage facilities and warehouses). Policy wording and claims.
- Marine/shipping (chartering; incidents; fires; cargo issues etc)
- Miscellaneous (e.g. bribery & corruption, cargo issues, demurrage, laycan, limitations, off hire, performance claims, Q&Q, repudiation, sanctions, seaworthiness, set-off, title, under-lifting etc.)
- Minerals & Mining
- Sale of goods (e.g. FOB, CIF and all other incoterms; payment by LC's; vessels' nominations and performance; final and binding provisions; etc.)
- Ship sale and purchase (Disputes under NSF 1987/1993)
- Ship yard disputes (shipbuilding/shiprepair disputes; refund guarantees; expert determination clauses; enforceability of liquidated damages for defaults; deposit; "milestones"; "permissible", "non-permissible" and "excluded" delays)
- Storage and related issues (oil and oil products - oil storage in over 50 locations)
- Title and other similar documents in all different contexts (e.g. holding certificates, warehouse warrants, etc.)
- Warehousing (responsibility for a warehousing business in over 15 jurisdictions covering ags, softs, metals and steel for a number of Exchanges)
- Vaulting (bullion and PGMs)

Education

- Law degree at Queens' College, Cambridge (LLM Cantab)
 - Law degree at University College London (LLB Hons)
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Terms and Conditions

Terms and Conditions available upon request.